SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Harton John Patrick	ment) of the Investment Company Act of 1940 3. Issuer Name and Ticker or Trading Symbol <u>CONSUMER PORTFOLIO SERVICES INC</u> [CPSS]						
(Last) (First) (Middle) 19500 JAMBOREE ROAD (Street) IRVINE CA 92612 (City) (State) (Zip)	(Month/Day/Yea 05/08/2014		4. Relationship of Reporting Perso (Check all applicable) Director X Officer (give title below) Sr, Vice Presid	er cify 6.	If Amendment, Date of Original Filed Month/Day/Year) Individual or Joint/Group Filing (Check pplicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
	Table I - Nor	n-Derivat	ive Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)			Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect (Instr. 5)	cṫ(D) (Ins	Nature of Indirect Beneficial Ownership str. 5)		
Common Stock, no par value			35,250	D				
			e Securities Beneficially nts, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit	ties	4. Conversio or Exercis		6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security			
Employee stock option (right to buy)	(1)	05/07/2023	Common stock, no par value	30,000	7.97	D		
Employee stock option (right to buy)	(1)	05/13/2019	Common stock, no par value	30,000	0.77	D		
Employee stock option (right to buy)	(1)	07/16/2022	Common stock, no par value	7,000	1.94	D		
Employee stock option (right to buy)	(1)	04/03/2022	Common stock, no par value	18,000	1.2	D		
Employee stock option (right to buy)	(1)	06/03/2021	Common stock, no par value	25,000	1.03	D		
Employee stock option (right to buy)	(1)	04/27/2020	Common stock, no par value	12,000	1.81	D		
Employee stock option (right to buy)	(1)	02/01/2023	Common stock, no par value	25,000	6.86	D		
Employee stock option (right to buy)	05/23/2012	11/23/2021	Common stock, no par value	6,250	1.75	D		
Employee stock option (right to buy)	05/23/2012	11/23/2021	Common stock, no par value	2,500	1.5	D		
Employee stock option (right to buy)	(2)	12/30/2015	Common stock, no par value	5,000	1.5	D		
Employee stock option (right to buy)	(3)	10/25/2016	Common stock, no par value	10,000	1.5	D		
Employee stock option (right to buy)	(4)	07/30/2017	Common stock, no par value	10,000	1.5	D		
Employee stock option (right to buy)	(4)	01/30/2018	Common stock, no par value	10,000	1.5	D		
Employee stock option (right to buy)	06/10/2010	04/29/2015	Common stock, no par value	5,000	1.5	D		

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)				
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)					
Employee stock option (right to buy)	(3)	02/27/2017	Common stock, no par value	2,500	1.5	D					
Employee stock option (right to buy)	(3)	05/22/2017	Common stock, no par value	15,000	1.5	D					

Explanation of Responses:

1. Becomes or became exercisable in increments of 20% on the dates that are 9 years, 8 years, 7 years, 6 years and 5 years prior, respectively, to the expiration date.

2. Becomes or became exercisable as to 80% of the total on June 10, 2010, and as to the remaining increment of 20% of the total on December 30, 2010.

3. Becomes or became exercisable as to 60% of the total on June 10, 2010, and as to increments of 20% of the total on the dates that are 6 years and 5 years prior, respectively, to the expiration date.

4. Becomes or became exercisable as to 40% of the total on June 10, 2010, and as to increments of 20% of the total on the dates that are 7 years, 6 years and 5 years prior, respectively, to the expiration date.

<u>/s/ John P. Harton</u>

05/19/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.